

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

11613.33USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

Unknown 10/018964

INTERNATIONAL APPLICATION NO.

CT/US00/14489

INTERNATIONAL FILING DATE

25 May 2000

PRIORITY DATE CLAIMED

18 June 1999

TITLE OF INVENTION

DK4 BINDING PEPTIDE

APPLICANT(S) FOR DO/EO/US

ATERSON et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
- ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☒ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☒ Other items or information: Form PCT/IPEA/416

Unknown

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PCT/US00/14489

ATTORNEY'S NUMBER

11613.33USWO

17. [X] The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):**

Search Report has been prepared by the EPO or JPO.....\$890.00

International preliminary examination fee paid to USPTO

(37 CFR 1.492(a)(1)).....\$710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)

but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$740.00

Neither international preliminary examination fee (37 CFR 1.482) nor

international search fee (37 CFR 1.445(a)(3)) paid to USPTO ..... \$1040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	9	-20 =	X \$18.00

Independent claims	6	-3 = 3	X \$84.00	\$ 252.00
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$260.00	\$
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**TOTAL OF ABOVE CALCULATIONS = \$1142.00**

Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27

\$

**SUBTOTAL = \$1142.00**

Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+ \$

**TOTAL NATIONAL FEE = \$1142.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+ \$

**TOTAL FEES ENCLOSED = \$1142.00**Amount to be:  
refunded

\$

charged

\$

1. [X] Check(s) in the amount of \$1142.00 to cover the above fees is enclosed.

2. [ ] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

3. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2725.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

END ALL CORRESPONDENCE TO:

Melissa J. Pytel

MERCHANT &amp; GOULD

P.O. Box 2903

Minneapolis, MN 55402-0903

SIGNATURE: \_\_\_\_\_

NAME: Melissa J. Pytel

REGISTRATION NUMBER: 41,512

PCT/PTO

11 APR 2002

#4

S/N 10/018964

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	PATERSON et al.	Docket No.:	11613.33USWO
Serial No.:	10/018964	Filed:	18 December 2001
Int'l Appln No.:	PCT/US00/14489	Int'l Filing Date:	25 May 2000
Title:	CDK4 BINDING PEPTIDE		

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV036308473US

Date of Deposit: 11 April 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: 

Name: Chris Stordahl

SUBMISSION OF COMPUTER READABLE SEQUENCE LISTING

## BOX SEQUENCE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This paper is being filed in accordance with the requirements of 37 C.F.R. § 1.821 - § 1.825. Applicants enclose herewith a computer readable form of the Sequence Listing. In addition, a paper copy of the Sequence Listing is also provided. Please insert the paper copy into the file after the Executed Oath or Declaration, as required by 37 C.F.R. § 1.77(a)(15).

Applicants hereby state that the computer readable form and the paper copy of the Sequence Listing enclosed do not include new matter.

Respectfully submitted,

MERCHANT &amp; GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

(612) 332-5300

By: 

John J. Gresens

Reg. No. 33,112

Dated: 11 April 2002

JJG/kjr



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PATENT TRADEMARK OFFICE

20018964-043102